Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515
http://lobbyingdisclosure.house.gov

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510
http://www.senate.gov/lobby

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5)   **- All Filers Are Required to Complete This Page**

1. Registrant Name   ☑ Organization/Lobbying Firm   ☐ Self Employed Individual

INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)

2. Address   ☐ **Check if different than previously reported**

Address1 **1301 K STREET, NW**   Address2 _____

City **WASHINGTON**   State **DC**   Zip Code **20005** -   Country **USA**

3. Principal place of business (if different than line 2)

City **Armonk**   State **NY**   Zip Code **10504** -   Country **USA**

4a. Contact Name
LISA PALLUCONI

b. Telephone Number
☐ **International Number**
(202) 515-4381

c. E-mail
pallucon@us.ibm.com

5. Senate ID#
19877-12

7. Client Name   ☑ *Self*   ☐ *Check if client is a state or local government or instrumentality*
INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)

6. House ID#
311230000

TYPE OF REPORT   8. Year **2009**   Q1 (1/1 - 3/31) ☑   Q2 (4/1 - 6/30) ☐   Q3 (7/1-9/30) ☐   Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report   ☐

10. Check if this is a Termination Report   ☐   Termination Date _____   11. No Lobbying Issue Activity   ☐

INCOME OR EXPENSES **- YOU MUST complete either Line 12 or Line 13**

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than $5,000   ☐

$5,000 or more   ☐ $ _____

Provide a good faith estimate, rounded to the nearest $10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than $5,000   ☐

$5,000 or more   ☑ $ **2,030,000.00**

14. REPORTING   Check box to indicate expense accounting method. See instructions for description of options.

☑ **Method A.**   Reporting amounts using LDA definitions only

☐ **Method B.**   Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.**   Reporting amounts under section 162(e) of the Internal Revenue Code

Signature   Filed Electronically   **Date** 04/20/2009

Printed Name and Title   Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   BUD   BUDGET/APPROPRIATIONS   (one per page)

16. Specific lobbying issues

Amtrak, Customs Modernization Program, Food Stamp Program, IT Projects, STEM Education and Government/ Private Sector Research and Development Programs.
Please see Lobbying Area Codes: AGR, CPT, DEF, EDU, GOV, HCR, HOM, SCI, & TRA for the report on these issues.

17. House(s) of Congress and Federal agencies   ☐   Check if None

Natl Science Foundation (NSF), Bureau of the Census, Patent & Trademark Office (PTO), Natl Institute of Standards & Technology (NIST)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
PJ	Edington			☐
William	Warner			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Susan	Tuttle			☐
Mark	O'Riley			☐
Adrienne	Rhone			☐
David	Barnes			☐
Christopher	Mustain			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑   Check if None

Printed Name and Title   Christopher A. Padilla, Vice President, Governmental Programs

Department of Energy, Office of Science

Making appropriations for the Department of Defense for the fiscal year ending September 30, 2010, and for other purposes.   Enterprise Resource Planning (Navy)

H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)

H.R. 2638 FY'09 Continuing Resolution (Appropriations)

H.R. 7322 CIS Appropriations (FY'09)

H.R. 1105, Omnibus Appropriations Act for 2009

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

ADDENDUM for General Lobbying Issue   BUD - BUDGET/APPROPRIATIONS

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Edgar	McCulloch			☐
Marcus	Williams			☐
Cheryl	Bruner			☐
George	Southworth			☐
Lauren	Phelps			☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   CPI   COMPUTER INDUSTRY   (one per page)

16. Specific lobbying issues

S. 495 Personal Data Privacy & Security Act of 2007
S. 239 Notification of Risk to Personal Data Act of 2007
S. 1178 Identity Theft Prevention Act
S. 1260 Data Security Act of 2007

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commerce - Dept of (DOC), Federal Trade Commission (FTC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
George	Southworth			☐
Dana	Gray			☐
Meredith	Singer		Sr. Policy Advisor, Rep. Tauscher	☐
Timothy	Sheehy			☐
Katie	Ignaszewski			☐
Lauren	Phelps			☐
Edgar	McCulloch			☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

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H.R. 2290 Cyber-Security Enhancement Act of 2007
S. 2168 Identity Theft and Restitution Act of 2007
S 2213 Cyber-crime Act of 2007
H.R. 4175 Privacy and Cybercrime Enforcement Act of 2007
H.R. 4791 Federal Agency Data Protection Act
H.R. 836 Cyber-Security Enhancement and Consumer Data Protection Act of 2007
Issues relating to creation of a consistent national framework for protecting personal and financial information, and for disclosures and remedies in the event of data breaches or loss of information.
Issues related to reforming the Federal Information Security Management Act
S. 3474 The Federal Information Security Management Act (FISMA) 2008
S. 1558 Federal Agency Data Breach Protection Act

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code CPT COPYRIGHT/PATENT/TRADEMARK (one per page)

16. Specific lobbying issues

Patent Reform
    S. 575/H.R. 1260 Patent Reform Act of 2009
        Post-Patent-Grant Review

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Patent & Trademark Office (PTO), Federal Trade Commission (FTC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Marcus	Williams			☐
Timothy	Sheehy			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Adrienne	Rhone			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

Venue
    Reasonable Royalty Damages
    Enhanced Damages
    Fee Shifting
    Third Party Submission of Prior Act with Commentary
    Best Mode
    Inequitable Conduct
    Patent Application Publication
    Applicant Quality Submissions
    PTO Rulemaking Authority
    Prior User Rights
Patent Quality
S. 299/H.R. 628, To establish a pilot to Enhance Patent Expertise of District Judges
PTO Funding
Deferral Examination
Community Patent Review
University Technology Transfer
Open Source Software
Open Standards and Interoperability
PTO Public PAIR
FTC "Evolving IP Marketplace" Hearings on Remedies and The Operation of IP Markets

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   DEF   DEFENSE   (one per page)

16. Specific lobbying issues

Enterprise Resource Planning (Navy)
GoArmyEd /eArmyU

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Defense - Dept of (DOD)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Adrienne	Rhone			☐
William	Warner			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title   Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   EDU   EDUCATION   (one per page)

16. Specific lobbying issues

Educational Standards   Accessibility
Workforce Development
Innovation (Talent)
Science, Technology, Engineering, Mathematics (STEM) Programs

17. House(s) of Congress and Federal agencies   ☐   Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Labor - Dept of (DOL), Commerce - Dept of (DOC), Education - Dept of, Natl Science Foundation (NSF), Natl Institutes of Health (NIH), Office of Management & Budget (OMB), Energy - Dept of, Office of Science & Technology Policy (OSTP)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
David	Barnes			☐
Susan	Tuttle			☐
Meredith	Singer			☐
Edgar	McCulloch			☐
George	Southworth			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Lauren	Phelps			☐
PJ	Edington			☐
Christopher	Mustain			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑   Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   ENG   ENERGY/NUCLEAR   (one per page)

16. Specific lobbying issues

Smart Power Grid
H.R. 6, Energy Independence & Security Act of 2007

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Energy - Dept of, Office of Science & Technology Policy (OSTP), Federal Energy Regulatory Commission (FERC), Environmental Protection Agency (EPA), Natl Institute of Standards & Technology (NIST)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Adrienne	Rhone			☐
Mark	O'Riley			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Meredith	Singer			☐
Edgar	McCulloch			☐
Lauren	Phelps			☐
Steven	Stewart			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title   Christopher A. Padilla, Vice President, Governmental Programs

H.R. 889, Save American Energy Act
H.R. 890, American Renewable Energy Act
H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)
  Smart Electric Grid

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

ADDENDUM for General Lobbying Issue   ENG - ENERGY/NUCLEAR

	Name		Covered Official Position (if applicable)	New
First	Last	Suffix		
PJ	Edington			☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   ENV   ENVIRONMENT/SUPERFUND   (one per page)

16. Specific lobbying issues

Product Take-Back Legislation
Draft "Energy Star Program"

17. House(s) of Congress and Federal agencies   ☐ Check if None

Environmental Protection Agency (EPA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Edmund	Perry			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
				☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   GOV   GOVERNMENT ISSUES   (one per page)

16. Specific lobbying issues

Acquisition Policy Provisions

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Office of Management & Budget (OMB), Defense - Dept of (DOD), General Services Administration (GSA), Environmental Protection Agency (EPA), White House Office, Commerce - Dept of (DOC), Central Intelligence Agency (CIA), Energy - Dept of, Natl Security Agency (NSA), Treasury - Dept of

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
William	Warner			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Adrienne	Rhone			☐
Mark	O'Riley			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

Use of Government-Wide Acquisition Vehicles
Use of Specialty Metals/Domestic Source Requirements
Use of Time & Material Contracts
H.R. 3405, To require persons to certify that they have not violated foreign corrupt practices statutes before being awarded Government contracts, and for other purposes.
H.R. 3033, Contractors and Federal Spending Accountability Act of 2007: to improve federal agency awards and overight of contracts and assistance and to strengthen accountability of the government-wide suspension and debarment system.
Government Procurement Eligibility
Census 2010
  contract oversight
S. 2521, IBM witness testified before Senate Homeland Security and Government Affairs Committe
  IBM Domestic Partner Benefit

ADDENDUM for General Lobbying Issue   GOV - GOVERNMENT ISSUES

	Name		Covered Official Position (if applicable)	New
First	Last	Suffix		
Christine	Dodd			☐
David	Barnes			☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code HCR   HEALTH ISSUES   (one per page)

16. Specific lobbying issues

S. 1456, Electronic Personal Health Records (PHRS) for Members of the Federal Employee Health Benefit Plans
  Demonstration projects for personal health records

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Defense - Dept of (DOD), Health & Human Services - Dept of (HHS), Centers For Disease Control & Prevention (CDC), Food & Drug Administration (FDA), Health Resources & Services Administration (HRSA), Natl Institutes of Health (NIH)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Edgar	McCulloch			☐
David	Barnes			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Christine	Dodd			☐
George	Southworth			☐
Lauren	Phelps			☐
Adrienne	Rhone			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

H.R. 493/S. 358, Genetic Information Nondiscrimination Act of 2007
  Genetic Information non-discrimination
H.R. 3800, Promoting Health Information Technology Act
H.R. 1424, Paul Wellstone Mental Health and Addiction Equity Act of 2007
H.R. 1952, National Health Information Incentive Act of 2007
Health Information Technology and Privacy Legislation (Discussion Draft, May 22, 2008)
S. 558, Mental Health Parity Act of 2007
  Mental Health Parity
S. 1693, Wired for Healthcare Quality Act
  nationwide healthcare system, national standards, interoperability, and privacy
S. 2532, Medicare, Medicaid and SCHIP Indian Health Care Improvement Act of 2007
  medicare physician payments
Patient Centered Primary Care Collaborative
H.R. 6357, Protect Act
S. 3800, Wired for Health Quality Act
  sought passage of bill to create uniform health care standards
H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)
  Health IT

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

ADDENDUM for General Lobbying Issue   HCR - HEALTH ISSUES

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Steven	Stewart			☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   HOM   HOMELAND SECURITY   (one per page)

16. Specific lobbying issues

Supply Chain Security

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Homeland Security - Dept of (DHS), Transportation Security Administration (TSA), U.S. Customs & Border Protection

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Katie	Ignaszewski			☐
Adrienne	Rhone			☐
Cheryl	Bruner			☐
David	Barnes			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code | LBR | | LABOR ISSUES/ANTITRUST/WORKPLACE | (one per page)

16. Specific lobbying issues

H.R. 800 / S. 1041, Employee Free Choice Act of 2007
  organizing alternatives
H.R. 3685, Employee Non-Discrimination Act of 2007
  workplace non-discrimination

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Trade Commission (FTC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Christine	Dodd			☐
Edgar	McCulloch			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Meredith	Singer			☐
Timothy	Sheehy			☐
PJ	Edington			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

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H.R. 2831, Lilly Ledbetter Fair Pay Act of 2007 / S. 1943 Fair Pay Restoration Act
  statute of limitations
H.R. 1542 / S. 910, Healthy Families Act
H.R. 1820 / S. 1556, Tax Equity for Domestic Partners and Health Plan Beneficiaries Act
H.R. 3185, 401(k) Fair Disclosure for Retirement Security Act of 2007
H.R. 3765, Defined Contribution Plan Fee Transparency Act of 2007 / S. 2473, Defined
Contribution Fee Disclosure Act of 2007
S. 1782, Arbitration Fairness Act of 2007
H.R. 3195 / S. 1881, ADA Amendments Act of 2008 / Americans with Disabilities Act Restoration
Act of 2007
Intellectual Property and Competition Policy
H.R. 3997, Emergency Economic Stabilization Act
  Lobbied for pension protection for multi-enployer pension plans
Pension Protection Act, Technical Corrections Bill of 2008, as part of the Worker, Retiree and
Employee Recovery Act of 2008 (H.R. 7327)
Accessibility Issues

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

ADDENDUM for General Lobbying Issue   LBR - LABOR ISSUES/ANTITRUST/WORKPLACE

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
David	Barnes			☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   MMM   MEDICARE/MEDICAID   (one per page)

16. Specific lobbying issues

H.R. 3162 Children's Health and Medicare Protection (CHAMP) Act of 2007
   Medicare funding and eligibility

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Edgar	McCulloch			☐
David	Barnes			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Christine	Dodd			☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   RET   RETIREMENT   (one per page)

16. Specific lobbying issues

H.R. 3185, 401(k) Fair Disclosure for Retirement Security Act of 2007
S. 708, Health Care and Training for Older Workers Act
  phased retirement framework

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Labor - Dept of (DOL)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Edgar	McCulloch			☐
David	Barnes			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Meredith	Singer			☐
Christine	Dodd			☐
George	Southworth			☐
Lauren	Phelps			☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

H.R. 3765, Defined Contribution Plan Fee Transparency Act of 2007 / S. 2473, Defined
Contribution Fee Disclosure Act of 2007
Deferred Compensation Provisions
S. 2936, Responsible Expansion of the State Children's Health Insurance Program Act of 2008
H.R. 3997, Emergency Economic Stabilization Act
  Lobbied for pension protection for multi-employer pension plans

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code | SCI | SCIENCE/TECHNOLOGY | (one per page)

16. Specific lobbying issues

Fiscal Year 2009 Appropriations
  Department of Energy - Office of Science
National Science Foundation
National Institute of Standards and Technology (DOC)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Commerce - Dept of (DOC), Education - Dept of, Natl Institute of Standards & Technology (NIST), Office of Science & Technology Policy (OSTP), Bureau of Economic Analysis, Bureau of the Census, Energy - Dept of, Natl Institutes of Health (NIH), Office of Management & Budget (OMB), Natl Science Foundation (NSF), Natl Institute of Standards & Technology (NIST), Bureau of Export Administration

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Mustain			☐
Susan	Tuttle			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
George	Southworth			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Lauren	Phelps			☐
PJ	Edington			☐
Mark	O'Riley			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

National Institute of Standards and Technology funding
Innovation
   Service Science
   R&D funding
Open Document Format - ISO vote
Metrics
S. 3274 / H.R. 5940, National Nanotechnology Initiative Amendments Act of 2008 (House & Senate versions)
H.R. 2638, FY'09 Omnibus Appropriations Act
H.R. 1105, Omnibus Appropriations Act, 2009
H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)
H.R. 554, The National Nanotechnology Initiative Reauthorization, To authorize activities for support of nanotechnology research and development, and for other purposes
H.R. 1145, National Water Research and Development Initiative Act of 2009

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code | TAX | TAXATION/INTERNAL REVENUE CODE | (one per page)

16. Specific lobbying issues

Revision of Tax Provisions in U.S. Model Treaty and Organization for Economic Cooperation and Development (OECD)

17. House(s) of Congress and Federal agencies ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Executive Office of the President (EOP), Council of Economic Advisers (CEA), Commerce - Dept of (DOC), Treasury - Dept of, State - Dept of (DOS), Internal Revenue Service (IRS), U.S. Trade Representative (USTR), Natl Economic Council (NEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Linda	Evans			☐
Edmund	Perry			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

U.S.-Brazil Tax Treaty
U.S.-China Tax Treaty
U.S.-India Tax Treaty
U.S.-Korea Tax Treaty
U.S.-Italy Tax Treaty
U.S.-Spain Tax Treaty
Revision of Internal Revenue Code Section Subpart F on Deferral of Taxation
Section 954 (c) 6 CFC Look-Through for Related Party Payments; and
HR 1509/S. 940, To amend the Internal Revenue Code of 1986 to permanently extend the subpart F exemption for active financing income.
  Section 954 (h) Active Financing Under Section SubpartF; and H.R. 1509
H.R. 2138, Investment in America Act of 2007 / S 41, Research Competitiveness Act of 2007 / S 2209, Research Credit Improvement Act of 2007
    R&D Tax Credit
H.R. 5267 / S. 1726, Business Activity Tax Simplification Act of [HR: 2008] [S: 2007]
Treasury "Check-the-Box" Regulations
Taxation of Software (Section 18) Regulations
Taxation of Internet Transactions and Software
Fundamental Tax Reform - Tax Reform Advisory Panel and Various Congressional Hearings
OECD VAT/GST on cross border services and intangibles and taxation of short-term assignees
OECD rules on business restructuring and permanent establishment
Research and Development Tax Credit Regulations
Research and Development Tax Credit Refinement - Alternative Simplified Credit
Securities and Exchange Commission Implementation of P.L. 107-204 Sarbanes-Oxley Act of 2002
Permanent Establishment (PE) Rules for Profit Attribution and Business Restructuring in OECD
Treasury Regulations on Contract Manufacturing
  Revenue Ruling 97-48, Revenue Ruling 75-7, and Related Code Sections
  Treasury Sections 1.954-3
  Field Service Advisory (FSA) 20020005
Proposed regulations relating to foreign-based company sales income under IRC sec. 954 (Reg. - 124590-07)
Economic Substance Doctrine Codification
Substantial Assistance rule under Treasury Reg. S.1.954-4 and IRS Notice 2007-13
"Contract Manufacturing" rule under Treasury Reg. S.1.954
Extension of Tax Relief Act of 2006
Internal Revenue Code sec. 401(k), sec. 529, and sec. 152(d)(2) for learning accounts legislation
H.R. 3359, Mobile Workforce State Income Tax Fairness and Simplification Act of 2007
Internal Revenue Code sec. 162(a) Away from Home rules
H.R. 3970, Tax Reduction and Reform Act of 2007
S. 2380, To amend the Internal Revenue Code of 1986 to modernize the application of the subpart F rules to computer software, to expand the subpart F de minimis rule, and to extend certain expiring provisions.
H.R. 6036, Lifelong Learning Accounts Act of 2008
H.R. 6049, Renewable Energy and Job Creation Act of 2008
  tax extenders
S. 2886, Alternative Minimum Tax and Extenders Tax Relief Act of 2008
  tax extenders
Government entities required to withhold 3% on payments for services and property under IRS Notice 2008-38
Section 956 of Internal Revenue Code (Section Subpart F) and IRS Notice 2008-91
Section 965 of Internal Revenue Code (Section Subpart F)
Energy Economic Stabilization Act of 2008, H.R. 1424
H.R. 422, Retain the Research credit and increase and make permanent the alternative simplified credit
Superfund taxes, "Corporate Environmental Income Tax," CEIT
S. 199, Production Deduction in IRC

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   TEC   TELECOMMUNICATIONS   (one per page)

16. Specific lobbying issues

Digital TV Transition - Funding
H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA) - Broadband Investment;
Additional funding for DVT Transition

17. House(s) of Congress and Federal agencies   ☐   Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Communications Commission (FCC), Natl Telecommunications & Information Administration (NTIA)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Cheryl	Bruner			☐
Steven	Stewart			☐
Edgar	McCulloch			☐
Meredith	Singer			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Lauren	Phelps			☐
Debra	Marks			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑   Check if None

Printed Name and Title   Christopher A. Padilla, Vice President, Governmental Programs

ADDENDUM for General Lobbying Issue   TEC - TELECOMMUNICATIONS

Name			Covered Official Position (if applicable)	New
First	Last	Suffix		
Adrienne	Rhone			☐
PJ	Edington			☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐
				☐

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code TRD   TRADE (DOMESTIC/FOREIGN)   (one per page)

16. Specific lobbying issues

Emerging Markets
Asia Pacific Economic Cooperation
   Ministerial and Heads of State Meetings

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, U.S. Trade Representative (USTR), Executive Office of the President (EOP), Council of Economic Advisers (CEA), Natl Economic Council (NEC), Natl Security Council (NSC), Office of the Vice President of the United States, Commerce - Dept of (DOC), Homeland Security - Dept of (DHS), State - Dept of (DOS), Treasury - Dept of, U.S. Customs & Border Protection

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Lauren	Phelps			☐
Edgar	McCulloch			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
George	Southworth			☐
Debra	Marks			☐
Steven	Stewart			☐
Meredith	Singer			☐
Edward	Gillespie			☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

Electronic Commerce Trade Policy
   World Trade Organization (WTO) Work Program on E-Commerce
   WTO Trade Classification
WTO Government Procurement Agreement
   Membership Expansion
   Adherence to the WTO Government Procurement Agreement
   Trade Agreements Act
U.S.-Colombia Free Trade Agreement
U.S.-Korea Free Trade Agreement
U.S.-Panama Free Trade Agreement
WTO-Doha Development Agenda
   WTO Tech Barriers to Trade (TBT) Agreement
   WTO Non-Agriculture Market Access
   WTO Trade Facilitation
   WTO GATS Negotiations
   Trade Treatment of Electronically Delivered Software
Trade Treatment of Remanufactured Goods
Trade Facilitation
China
   Strategic Economic Dialogue
   Joint Commission on Commerce & Trade (JCCT)
   Joint Economic Commission (JEC)
   WTO Accession Implementation
   WTO Government Procurement Agreement (GPA) Accession
   Services-Related Issues
   Bilateral Investment Treaty
   Encryption Standards
Open Standards/Open Source
Global Sourcing
Indian Government Procurement
Trade Promotion Authority (TPA)
Export Regulation
U.S. Department of Commerce - Encryption Reform
H.R. 1/S. 1, The American Recovery and Reinvestment Act (ARRA)
   Extension of TAA to service workers
   Extension of TAA to non-FTA partners
   Buy American Provision
G20 Summit Preparation

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code | TRA | TRANSPORTATION | (one per page)

16. Specific lobbying issues

Highway and transit funding
   Intelligent transportation systems and technologies

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Transportation - Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Edgar	McCulloch			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
Mark	O'Riley			☐
Lauren	Phelps			☐
Meredith	Singer			☐
George	Southworth			☐
				☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   IMM   IMMIGRATION   (one per page)

16. Specific lobbying issues

H.R. 1930 / S. 1083, SKIL Act of 2007
  H-1B visas

17. House(s) of Congress and Federal agencies   ☐   Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
David	Barnes			☐
Edgar	McCulloch			☐
Christine	Dodd			☐
George	Southworh			☐
Dana	Gray		Sr. Policy Advisor Rep. Tauscher	☐
Meredith	Singer			☐
Lauren	Phelps			☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑   Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code   FIN   FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES (one per page)

16. Specific lobbying issues

H.R. 1242, "TARP Accountability and Disclosure Act"

17. House(s) of Congress and Federal agencies   ☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Christopher	Caine			☐
Edgar	McCulloch			☐
Dana	Gray		Sr. Policy Advisor, Rep. Tauscher	☐
				☐
				☐
				☐
				☐
				☐
				☐

19. Interest of each foreign entity in the specific issues listed on line 16 above   ☑ Check if None

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address _____

City _____ State _____ Zip Code _____ - _____ Country _____

21. Client new principal place of business (if different than line 20)

City _____ State _____ Zip Code _____ - _____ Country _____

22. New General description of client's business or activities

_____

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

	First Name	Last Name	Suffix		First Name	Last Name	Suffix
1	Christopher	Caine		3	Mark	O'Riley	
2	Timothy	Docking		4	Christopher	Mustain	

ISSUE UPDATE

24. General lobbying issue that no longer pertains

[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Internet Address:

Name	Address Street Address City State/Province Zip Country	Principal Place of Business (city and state or country)
		City _____ State _____ Country _____ City _____ State _____ Country _____

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

1	2	3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address Street Address City State/Province Country	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
		City _____ State _____ Country _____		%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

1	3	5
2	4	6

Printed Name and Title Christopher A. Padilla, Vice President, Governmental Programs